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Restriction of Oxygen Vacancy in Oxygen-Deficient Srtio₃ Via Substitution Dopants in B Site: Density Functional Theory Calculations.

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ABSTRACT:

Capacitors and gate dielectrics are just two examples of when strontium titanate's (SrTiO₃) dielectric properties would be helpful. Unintentional oxygen vacancy in as-grown SrTiO₃ during growth conditions makes it electrically active due to positively charged oxygen vacancy which is more stable than its neutral form. Controlling of the electrical activity of V_o is an importance to tailor the electrical feature of SrTiO₃. The electrical and structural characteristics of metal-doped SrTiO₃ have been investigated using First-principal simulation. Mg, Ni and Cu have been incorporated as substitutions for Ti, in oxygen-deficient SrTiO₃. Inherent Oxygen vacancy forms strong binding with dopant substituting Ti with binding energy up to 4.41 ± 0.09 eV for Cu_{Ti} - V_o complex. M_{Ti} and more specifically Cu_{Ti} could help counteract or capture the V_o effect in as-grown SrTiO₃. Doping with dopants that act as electron captures could be the effective and reliable route to confine electrically active V_o in SrTiO₃

Keywords: DFT; SrTiO3; Oxygen vacancy; Transition metal; Binding Energy.

1. Introduction

Due to their exceptional physical and organic characteristics, ferroelectrics made from perovskite have garnered significant interest from researchers for their potential applications in semiconductor technology. The incorporation of impurity atoms and deviations from harmonious chemical makeup even in materials that are nominally pure-can substantially influence various properties, including electrical (dielectric characteristics and electrical conductivity), magnetic, optical, thermal, and other properties. Furthermore, the intentional modification of is a critical material properties investigation. One technological approach involves the planning and creation of structural defects, such as inherent defects, defects induced by radiation, and those introduced by impure atoms, which can modulate specific material properties across a considerable range. In particular, the examination of substitution point defects in A and B sites of strontium titanate (SrTiO₃) is of great interest. Strontium titanate serves as a prototypical material within the perovskite family. [1, 2].

SrTiO₃ is one of the most interesting ternary perovskite oxides [3]. The crystal structure of SrTiO₃ is cubic at room temperature with space group symmetry Pm3m [4]. In the cubic phase, all of the atoms in the structure (Sr, Ti, and O) are in their high symmetry sites. However, at low temperatures, the crystal structure undergoes cubic to tetragonal phase transition [5]. The tetragonal phase of SrTiO₃ is characterized by rotation of neighbouring oxygen sub-lattices opposite to each other around [001] direction. The angle of rotation has been reported in previous measured to be 2.00° [6] and 2.01° [7]. Theoretical investigation procedures adopted in this study reflect well the angle of oxygen sub-lattices twisting at round 1.98°. Other theoretical study produces value of angle 1.95° [8] and this result agrees well with this calculated value as well as with those measured from experiments. SrTiO₃ has an interesting electronic, electrical, optical, and dielectric properties. It has density around 5.12 gm/cm³ [9], refractive index within the range 2.32 - 2.37 [10], melting point 2075 °C and thermal expansion coeffeicient 9.4*10⁻⁶/°C [11]. In addition to these

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properties and more interestedly, the dielectric properties are highlighted by high dielectric constant at around 300 [12]. The high dielectric constants of SrTiO₃ in comparison with other perovskites for instance ZrTiO₄, BiTiO, CoTiO₃, TiTaO, [13,14] make this material convenient for wide range of applications. These applications are including; metal-oxide semiconductor effective transistor (MOSFET) [15], negative capacitor [16], resistive switching[17] dielectric random-access memory (DRAM) [18]. Despite the unique dielectric functionality of SrTiO₃, it shows a limitation in many applications as dielectric substance. These drawbacks are highlighted by the leakage current occurs during the application of SrTiO₃ as a dielectric. This means that as-grown SrTiO₃ has conductivity despite its dielectric properties. Wide range of both experimental and theoretical studies in the literature have been carried out to understand the main reason behind this limitation and overcome this drawback[19]. The majority of these investigations were focusing on impact of native defect on the electrical properties of SrTiO₃ [20]. Oxygen vacancy has gained a high focusing and investigation in comparison to other native defects. Oxygen vacancy was the focus of interest mainly due to its formation energy specially in the ionized form [21]. The undesirable leakage current and interested resistive switching are still an area of interest. Therefore, controlling and tailoring oxygen vacancy in term of its formation, migration and binding with other defects becomes an interest of investigation practically and computationally.

Various deposition approaches have been employed to fabricate thin films of SrTiO₃; however, organic precursors are frequently employed and cause contaminants impurities [22]. As known that growth of crystalline SrTiO₃ is driven by environment of growth condition of elements included in the process. This implies that SrTiO₃ might be grown under either oxygen rich or oxygen poor conditions. Similarly, the growth could be under metal-rich or lean conditions. Based on growth conditions of SrTiO₃, oxygen vacancy is the most probable defect that formed under anion reduction conditions in comparison with other native defects, including titanium vacancy, strontium vacancy, titanium interstitial, strontium interstitial and oxygen interstitial [23]. The low formation energy of Vo makes it hardly avoidable

defect in as grown SrTiO₃. Previous investigation reported formation energy of oxygen vacancy to be around 2 eV. According to another theoretical investigation adopted density functional calculation, the formation energy was calculated to be between 1.0 to 1.6 eV [24]. In addition to calculated value of formation energy, the study demonstrates that the cell size is a critical parameter for quantitative assessment of formation energy. In addition to its low formation energy in bulk SrTiO₃, oxygen vacancy is energetically favorable to be formed at the surface of SrTiO₃. For TiO₂ termination surface of SrTiO₃, the previously documented value of formation energy was 7.62 eV for non-ionized vacancy and 4.77 for singly ionized vacancy [25]. The missing of oxygen ion to create oxygen vacancy in SrTiO₃ yields two electrons in SrTiO₃ system that hosting the vacancy. Therefore, the vacancy will be existing in neutral form as well as single and double positively charge states. i.e. in 0, +1 and +2 charge states. Considerable investigations report that the oxygen vacancy is more stable in the +2-charge state [26]. Thus, the stabilization of doubly ionized form of the vacancy implies that SrTiO₃ has an electrical activity. Consequently, the formation of oxygen vacancy in +2 charge state will effectively affect the dielectric functionality of SrTiO₃ in many of its applications. It is well known that native defects, including oxygen vacancies (V_o), have a significant impact on the physical properties of SrTiO₃ because they produce localized electronic states in the band gap [27], which causes as-grown SrTiO₃ to display ntype conductivity. There is a strong anisotropic diffusion of Vo in the case of strained ferroelectric SrTiO₃. Leakage imbalance in capacitors is thought to be caused by interactions and vacancies being trapped by other defects at the interfaces; Vo is a crucial defect in resistance switching phenomena [29-31]. Since reducing leakage current is essential in capacitors, this electrical conductivity poses serious issues [28]. A direct nearest-neighbor hopping mechanism facilitates the migration of oxygen vacancies along the lattice in both ferroelectric in nature (strained) and antiferroelectric (unstrained) SrTiO₃ [30]. Moreover, metal impurities playing a major role as a trapping location for these vacancies, as it may selectively locate itself close to the electrode [31].

It is known that defects in materials might be bounded to each other to form a complex or might be formed as individual defects. Therefore, binding anergy of complex in solids is significant quantity to assess the thermodynamic stability of complex. More significantly, valuable information can be obtained from binding energy to decide whether two defects are formed as individual separated systems or as complex in solids. Additionally, it provides quantitative estimate of the desired energy to overcome the force holding the two defects those forming the complex. Despite a growing body of evidence highlighting the role of metallic defects in SrTiO₃, the binding of native inherent V₀ and substitution dopant in A and B sites in the material remains a subject of limited exploration. Of particular interest is the possibility of transition metal dopants including Ni, Cu and Mg substitution for Ti serving as capturing sites for electrically active oxygen vacancies in SrTiO₃. This study aims to systematically investigate the structural, electrical, and optical properties associated with Ni, Cu, and Mg in SrTiO₃, with a focus on altering these properties through computational material design. First-principles simulations offer a reliable avenue for guiding experimental investigations. First-principles investigations have the capability to analyses the microscopic nature of crystallographic and electronic properties of materials those are limited to investigate using the practical techniques. It has been adopted as complementary procedure for wide range of experimental studies and in many cases, it was considered as a guidance upcoming and expected experimental investigations.

In the research, we propose and investigate the probability of confining electrically active V_o by doping substitution metal dopant to substitute Ti. Substitution defects are including Ni, Cu, and Mg. The idea of proposing these defects is producing electron captures defects in the vicinity of the oxygen vacancy in order to create charge balance in the system. We assess several structural and electrical features of doped $SrTiO_3$ and examine the binding interactions of V_o oxygen vacancies with Ni, Cu, and Mg substituting B-site $SrTiO_3$. All calculations in this study were carried out by using density functional theory (DFT) simulations.

2. Computational Method and Models

Spin-polarised local density functional [32] computations were performed in the ab-initio

modeling program code [33, 34] to study the structural and electronic characteristics of M-doped SrTiO₃. The structural features and binding energy of the oxygen vacancy in conjunction with dopants in Sr and Ti-sites have been studied.

The electron-ion interactions were simulated employing norm-conserving a Pseudopotential [35]. The valence configurations utilized for Sr, Ti, and O were $4s^24p^65s^2$, 3s²3p⁶3d²4s², and 2s²2p⁴, respectively. Titanium, and oxygen were described using four sets of s., p., and d-Gaussian functions, resulting in a total of 40 functions per atom. These functions were employed to represent electronic wave functions using atomcentered Gaussian basis functions [36]. Using the Kohn-Sham potential and a plane-wave expansion of density, the Hamiltonian matrix elements were found [37], with a cutoff energy set at 300 Ha.

The computational method employed in our study yields an equilibrium lattice value of 3.88 °A for bulk SrTiO₃, which is consistent with prior results [38] and experiment [39]. The bulk modulus is also calculated to be 195*106 Nt/mm². The calculated value of bulk modulus in good with $222*10^{6}$ Nt/mm² agreement form experimental study [40] and 174*10⁶ Nt/mm² from theory [41]. The enthalpy of formation of SrTiO₃ calculated using the current approach is -3.60 eV/atom which is in a line with -3.46 eV/atom from experiment [42]. The well accordance of these calculated parameters with previous literatures provide benchmark for other calculations in our study. Furthermore, a similar computational approach has been successfully adopted to explore the oxygen vacancy migration under bi-axial tensile, [43] compressive strain, [44] as well as the electronic structure of the hydrated SrTiO₃ (001) surface [45].

The structural optimization process utilizes the conjugate gradients method to achieve optimized structures, ensuring that forces acting on each atom remain below 10⁻³ atomic unit. In the final phase, a reduction in total energy to below 10⁻⁵ Ha is sought to establish equilibrium structures. Allowing all atoms to move freely is crucial in determining these equilibrium configurations.

Additionally, symmetry constraint conditions are circumvented during the optimization process by evaluating the energy of each defect structure about the lattice symmetry. This implies that the hosted defect might be

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constrained due to maintenance of symmetry, thus, for each system under investigation, the symmetry was perturbated to produce high degree of optimization. Defects are modeled using a 160atom supercell with lattice vectors defined as $2\sqrt{3}[a\bar{a}\bar{a}]$, $2\sqrt{3}[\bar{a}a\bar{a}]$ and $2\sqrt{3}[\bar{a}\bar{a}a]$, where a represents the lattice constant of the primitive cubic cell. The 160-atoms cell size was created by double repeat of non-primitive 20-atoms cell size. The super cell adopted to model defective system compromise 32 formula unite of SrTiO₃. Therefore, for modelling of Cu_{Ti}, Ni_{Ti}, and Mg_{Ti} systems, we adopted $Sr_{32}Ti_{31}CuO_{96}$, $Sr_{32}Ti_{31}NiO_{96}$, respectively. Sr₃₂Ti₃₁MgO₉₆ Similarly, modelling of complexes are Sr₃₂Ti₃₁CuO₉₆ for Cu_{Ti}- V_o , $Sr_{32}Ti_{31}NiO_{96}$ for Ni_{Ti} - V_o and $Sr_{32}Ti_{31}MgO_{96}$ for Mg_{Ti}-V_o. The Brillouin zone is sampled utilizing a 2×2×2 Monkhorst-Pack mesh [46]. Higher sampling grid has been tested for more accurate results. We have tested 3×3×3 and found that the energy difference between 2×2×2 and 3×3×3 was negligible. The cell size was also converged by comparing the results from 160-atoms cell size with larger cell size containing 270 and 320 atoms. The difference in energy between 160-atoms and 207 atoms cell-size or 320 atoms cell-size was very small. Therefor, all the calculations in this study adopted 160-atoms cell size as it is less computational cost. The utilized cell size has been also adopted in previous calculation to investigate behaviour of other perovskite defective systems such as BaTiO₃ [43] and PbTiO₃ [47].

The Binding Energy of the complex $M\text{-}V_0$ is defined as the discrepancy in total energies between the individual components and the complex.

$$E^{b}(M, V_{o}) = E^{T}(M) + E^{T}(V_{o}) - E^{T}(M, V_{o}) - E^{T}_{pure}$$

Where the total energies of V_o and M in their isolated forms are $E_T(V_o)$ and $E_T(M)$, respectively. The total energy of the complex is $E_T(M, V_o)$ and E_{pure} for pure SrTiO₃. In the calculation of M– V_o binding energies, different cell sizes have been adopted.

3. Results and Discussion

A series of calculations on the defect complex has been conducted to investigate the interactions between oxygen vacancies (Vo) and substitution dopant impurities (M). The binding energies for all configurations analyzed have been computed. Prior to the calculation of binding energy, structures containing individual defects (metal substitution Ti and oxygen vacancy) as well as structure containing the complex (two defect together) were optimized in advance. optimization process for structures investigation was converged with related parameter including sampling scheme of Brillouin zone.

The optimised structure with metal dopants instead of Ti is analyzed in term of bond lengths of dopants with surrounding oxygen ions. To begin, we will go over the optimised geometry, like the bonding in rock-salt, the six neighboring oxygen ions of M $_{\text{Ti}}$ (labelled (a) in Fig.1) are similar. Furthermore, it is observed that the distance between oxygen ions and dopants substituting for Ti is variant with respect to dopant identity. In contrast to bulk SrTiO₃, which has a bond length of 1.89 Å, the bond between any of Cu_{Ti} , Ni_{Ti} , and Mg_{Ti} and the first neighbouring oxygen ions are slightly variant. The bond lengths of Cu_{Ti} , Ni_{Ti} , and Mg_{Ti} with first nearest six oxygen ions are listed in Table.1.

Table.1 The bond lengths (in \mathring{A}) between Cu_{Ti} , Ni_{Ti} and Mg_{Ti} and six surrounding oxygen ions labelled in Figure.1

1.801011							
Structure	O1	O2	O3	O4	O5	O6	
Cu_{Ti}	1.99	1.92	1.84	1.97	1.95	1.88	
Ni_{Ti}	1.89	1.86	2.65	1.86	1.89	2.65	
Mg_{Ti}	2.82	1.89	2.81	2.81	1.99	2.83	

As clear from table.1, the inclusion of Ni_{Ti} and is found to cause the lattice to tense due to the Ni-O bond lengths being shorter than host Ti-O bonds. It is obvious that there are four Ni-O bond lengths have the same order of length and an average of

1.875 Å, whereas the other two Ni-O bonds are longer in comparison with the other four bonds. In addition, these two bonds are longer than their equivalents Ti-O bonds in pure undoped systems. It means that inclusion of Ni dopant as substitution to

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Ti will produces compression in four Ni-O bond lengths and tension in remaning two Ni-O bonds. The divergence of ionic radius of Ni⁺² (0.615Å) compared to Ti⁺⁴ (0.68Å) is possible explanation for the tension. In case of Mg substituting Ti, it is clear Mg_{Ti} relaxed by increasing the distance, hence the bond lengths between MgTi and the six connected oxygen ions. In contrast to Ni_{Ti} the bond lengths of Mg-O are elongated in four Mg-O bonds with an average 2.82 Å, while the other two Mg-O bonds are 1.89 Å and 1.99 Å. The substitution of Ti with Mg is found to cause outward tension as clear form the divergence between Mg-O in the doped system and Ti-O in pristine SrTiO3, where the former is longer in bond lengths. The elongation in four of Mg-O bond length in system containing Mg_{Ti} is ascribed to nature of Mg-O in MgO which is equal to 2.30Å. In additions, ionic radius of Mg⁺² of 0.72Å might be the cause of local upward stress around Mg_{Ti}. The incorporation of Cu in Ti site yields comparable environment to bond length of Ti-O in pure SrTiO₃ despite the discrepancy in atomic radius between Cu (0.72) and Ti.

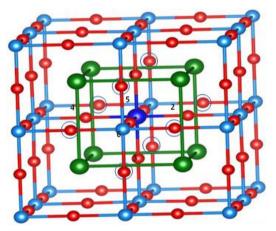


Figure 1. shows the first nearest neighboure of oxygen ions laballed 1 to 6 and the second oxygen nearest neighbour oxygen ions highlighted with circles. The green, grey, red, and blue spheres symbolise Sr, Ti, O, and substitution dopant. The system is set up so that the vertical and horizontal directions are [001]

and [100], respectively, with an off-axis presentation to improve understanding.

Table.1 shows that bond lengths of Cu-O in case of Cu substation Ti have nearly similar bond lengths. The average order of Cu-O bond length is 1.92\AA . The calculated average bond lengths are closer to identical Ti-O in pristine SrTiO₃. Therefore, it can conclude that structural perturbation caused by inclusion of Cu in Ti site is less in comparison with other dopants (Ni_{Ti} and Mg_{Ti}).

In order to find the binding energy of Cu_{Ti}-V_o, Ni_{Ti}-V_o, and Mg_{Ti}-V_o, systems composed of either Cu_{Ti} or Ni_{Ti} or Mg_{Ti} and V_o have, therefore, been modelled. In order to find the minimum energy structure of oxygen vacancy in the presence of substituted metal dopant. From geometrical and crystallographic perspectives of SrTiO₃, the oxygen ions can be categorized according the inter atomic distance separating the metal dopant (Ti in case pf pure SrTiO₃) into first, second, third... et. al. Hence, the oxygen vacancy nearest neighbors. could be located in the first, second, and third oxygen shells with respect to the metal dopant site. More progressively farther away from dopant site has also been considered and compared with other shells' sites. As clear from Figure.1 the metal (Cu, Ni, and Mg) dopant substitution Ti in SrTiO₃ has six oxygen ions labeled from 1 to 6 as first nearest neighbors. The second nearest neighbors of oxygen ions to the dopant are eight and highlighted with circles in figure.1. In addition, the third nearest neighbors of oxygen ions, all these sites have been tested to find the site with minimum energy. We observe that Vo has an energy preference for the first adjacent oxygen shell, which means it misses one of the six oxygen ions linked to the dopant.

Using formula (1) and after optimization of all related structures of each complex in this study, the calculated binding energies of Cu_{Ti} - V_o , Mg_{Ti} - V_o and Ni_{Ti} - V_o are listed in Table 1

Table.1 : The binding energy (in eV) between oxygen vacancy and metal dopant substitution Ti (Cu_{Ti} - V_o , Mg_{Ti} - V_o and Ni_{Ti} - V_o) in $SrTiO_3$

Complex	Cu _{Ti} -V _o	Ni _{Ti} -V _o	Mg _{Ti} -V _o
Binding energy (eV)	4.41	2.60	3.91

As clear from the table the Ni_{Ti}-V_o complex has lowest value of binding energy in comparison with other to complexes (Cu_{Ti}-V_o and Mg_{Ti}-V_o). By contrast, Mg_{Ti}-V_o has the highest value of binding energy. In general, and in comparison, with literatures, the computed binding energies of all complexes under investigations of dopants substituting Ti are greater than the previously published values for Al_{Ti}-V_o (0.15 eV) [48] and Fe_{Ti} - V_o (0.3-0.4 eV) [49]. The high values of binding energy are an outcome of charge imbalance between Ti+4 and the dopants. The highest value has been found for Cu_{Ti}-V_o complex. This implies that imbalance in valance state between Ti⁺⁴ and Cu⁺² required charge compensation in the vicinity of Cu_{Ti}-2. The binding with as grown V_o^{+2} is the most probable route for compensation. Thus, high value can be ascribed to strong electrostatic interaction between Cu_{Ti}-2 and the neighboring V_0^{+2} . The comparatively high binding observed in the case of Cu_{Ti} inclusion as isolated acceptors, CuTi can be considered as a potential room temperature trap for Vo in SrTiO3. As previously indicated, Cu_{Ti}, Ni_{Ti} and Mg_{Ti} cause a local dilatation of the lattice with less pertibated in crystal structure for Cu_{Ti}. In specific Cu_{Ti} can relax towards the vacancy in the complex and making it easier to accommodate the Cu ion. More specifically, the 2.15 Å and 1.76 Å axial Cu-O and Ti-O bond lengths, respectively, show that the relaxation of the ions linked to the vacancy is different. Furthermore, it is anticipated that this complex will be formed as a result of the electrostatic interactions. Since Vo is a double donor, as is well-established in the literature, the combination can be characterized as Cu_{Ti}²_V₀⁺². It is believed that this pair of acceptors and donors will form an isoelectronic defect and will be bound Coulombically. Further to the highest calculated value of binding energy for Cu_{Ti}-V_o, it is known that and as stated Vo has an energy barrier of migration being less in double ionized form, which implies that the vacancy required an energy to dissociate from the complex as well as an energy to overcome the energy barrier.

The binding energy magnitude of the reaction

$$M_{Ti} - V_0 = \leftrightarrow M_{Ti}^{-2} + V_0^{+2}$$

Assuming the dissociation barrier is equal to the sum of the binding energy and the migration barrier of V_0^{+2} (calculated at 0.72 eV) [50, 51], we arrive at a temperature of approximately 1800 K for

a process that follows Boltzmann statistics, with a dissociation rate of 1 Hz and an attempt frequency of 16 THz. So, this may be seen as a ballpark figure for the growth temperature at which Cu_{Ti} and an adjacent oxygen vacancy are likely to be integrated and the temperature at which annealing the material is required to activate the Cu_{Ti} acceptors.

If Cu_{Ti} is a double acceptor and, depending on the position of level in electronic structure, leads to p-type conductivity, it would make sense because Ti and Cu are expected to have different formal oxidation states at this site. Since V_o generation is typically associated with as-grown n-type conductivity, an acceptor could be a way to remove the electrons produced from V_o .

IV. CONCLUSIONS

Through the application of density functional theory, we conducted a study on the impact of replacing Ti with Cu, Ni, and Mg in SrTiO₃ on its geometrical properties. Our findings indicate that dopants induce variant local structural changes around the defect. The divergence in structural changes around the substituted dopant is lower for Cut; in comparison with other two structures (Mgt; and Ni_{Ti}). The interaction between substitution dopants and the prevalent double donor oxygen vacancy shows high vales of binding energy for all system under study against those from previous investigations. In addition, the highest value of binding up to 4.41 eV has been obtained for Cu_{Ti}-V_o. Specifically, oxygen vacancies tend to favor the vicinity of the first oxygen shell and then localized near the substitution dopants. The resultant defect, electrically inert in nature, can be considered a pair of double acceptors and double donors, or in terms of crystal composition, as a local alloy comprising SrTiO₃, SrO, and CuO. For instance, in simulations using a 160-atom supercell, the defect-free system can be described as (SrTiO3)32, while the defective system is represented as (SrTiO₃)₃₁(SrO)(CuO). This implies that a stoichiometric CuO unit substitutes a native stoichiometric TiO₂ group. This system shows promise as a candidate for capturing oxygen vacancies due to its positive and high binding energy (4.41±0.09 eV), which is relatively high compared to previously studied Fe and Al substitutions. The binding of the Cu_{Ti}-Vo complex is expected to hold up to approximately 1800K.

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